



HUB SUBCONTRACTING PLAN

The contracting agency has determined that subcontracting opportunities are probable under this contract. Therefore, all respondents, including State of Texas certified Historically Underutilized Businesses (HUBs), are required to complete and submit this HUB Subcontracting Plan (HSP) with their response (bid, proposal, offer or other applicable expression of interest).

IF YOUR RESPONSE DOES NOT CONTAIN AN HSP, IT SHALL BE REJECTED AS A MATERIAL FAILURE TO COMPLY WITH THE ADVERTISED SPECIFICATIONS.

1. Respondent and Solicitation Information

- a. State Agency/University Name: Department of Information Resources
- b. Company Name: MARK III Systems, Inc. – SEE PAGE 12 FOR SELF-PERFORMANCE PLAN
- c. Is your company a State of Texas certified HUB? ☒ - Yes ☐ - No If Yes, provide VID/Certificate #: 1760484797400
- d. Solicitation Number: DIR-SDD-TMP-070

By signature on solicitation response, respondent certifies that its HSP and supporting documentation are true and correct and understands that if awarded any portion of the solicitation referenced above, any modifications to the HSP must be submitted to the contracting agency for prior approval. In addition, respondent understands that if the HSP is modified without the contracting agency's prior approval, respondent will be in breach of contract and subject to any remedial actions provided by Texas Government Code, Chapter 2161. Respondent also understands that if awarded this solicitation, respondent will be required to submit monthly compliance report(s) to the contracting agency, specifying the use, including expenditures to HUB subcontractor(s), if applicable. (See Prime Contractor Progress Assessment Report at <http://www.tbpc.state.tx.us/hubbid/forms/index.html>.)

2. Development of an HSP and Subcontracting Intentions

In developing the HSP, which includes dividing the contract work into reasonable lots or portions to the extent consistent with prudent industry practices, the respondent must determine what portion(s) (including goods or services) they intend to subcontract. If the respondent determines that they are able to fulfill all of the potential subcontracting opportunities identified with its own equipment, supplies, materials and/or employees, the respondent must complete the Self Performance HSP (enclosed) and provide a statement explaining how they intend to fulfill the entire contract scope of work.

Space is provided to list up to six (2a-2f) subcontracting opportunities on this form. *The respondent must complete Item 3 of the HSP for each subcontracting opportunity.* If there are more than six subcontracting opportunities, Item 3 may be photocopied and completed for each subcontracting opportunity.

I intend to subcontract the following portion(s)/scope of work:

- | | |
|-----------|-----------|
| (a) _____ | (d) _____ |
| (b) _____ | (e) _____ |
| (c) _____ | (f) _____ |

NOTE: In accordance with Texas Government Code, Chapter 2251.001, a "Subcontractor" means a person who contracts with a vendor to work or contribute toward completing work for a governmental entity. In addition, a "Vendor" means a person who supplies goods or a service to a governmental entity or another person directed by the entity.

3. Good Faith Effort Information and Supporting Documentation

(Note: Use this attachment for the Subcontracting Opportunity you identified in Item 2a.)

a. Mentor Protégé Program

A respondent's participation as a Mentor in a State of Texas Mentor Protégé Agreement under Texas Government Code, Chapter 2161.065 and the use of their Protégé as a subcontractor to perform the scope of work they listed in **Item 2a** of this HSP, constitutes a good faith effort. **If applicable, do you intend to subcontract the portion of work you listed in Item 2a to your Protégé?**

☐ - Yes (If Yes, skip to Item 3e and omit Item 3b, 3c and 3d.) ☐ - No ☐ - Not Applicable

b. Professional Services Contracts Only (This item is only applicable for professional services subcontracting opportunities.)

My proposed HUB Subcontracting Plan for this professional services contract (as defined by Texas Government Code 2254) meets or exceeds Annual Procurement Utilization goals for HUBs.

☐ - Yes (If Yes, skip to Item 3e and omit Item 3c and 3d.) ☐ - No ☐ - Not Applicable

c. Check the appropriate box to identify the good faith effort you made to solicit and notify State of Texas certified HUBs to perform the scope of work you listed in **Item 2a**. If applicable, all items below must be performed to demonstrate good faith effort. The contracting agency shall request additional documentation to substantiate your responses prior to contract award.

- ☐ - I utilized the Centralized Master Bidders List, the HUB Directory, the Internet, and other directories identified by the contracting agency to solicit my subcontracting opportunity to HUBs that may be available to perform the contract work.
- ☐ - I provided notice to three (3) or more HUBs per each subcontracting opportunity that provide the type of work required for each subcontracting opportunity.*
- ☐ - I provided notice to minority or women trade organizations or development centers to assist in identifying HUBs by disseminating subcontracting opportunities to their membership/participants.*

d. In the spaces provided below, list a minimum of three (3) State of Texas certified HUBs who you provided notice regarding the subcontracting opportunity you listed in **Item 2a**. Include the date(s) you provided notice and indicate if you received a response(s).

Company Name	Notice Date	Was Response Received?	
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No

e. In the space provided below, identify the subcontractor(s) you selected to perform the scope of work you listed in **Item 2a**. Also, list the expected percentage of work to be subcontracted; the approximate dollar value of the work to be subcontracted, and indicate if the company is a State of Texas certified HUB.

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Provide/Select justification if subcontractor(s) is not a Texas certified HUB:

☐ - Lowest Price ☐ - Best Qualified ☐ - Best Value

*Notice must be provided with reasonable time to respond, which is no less than five (5) working days, unless circumstances require a different time period, which is determined by the contracting agency and documented in the contract file.

3. Good Faith Effort Information and Supporting Documentation

(Note: Use this attachment for the Subcontracting Opportunity you identified in Item 2b.)

a. Mentor Protégé Program

A respondent's participation as a Mentor in a State of Texas Mentor Protégé Agreement under Texas Government Code, Chapter 2161.065 and the use of their Protégé as a subcontractor to perform the scope of work they listed in **Item 2b** of this HSP, constitutes a good faith effort. **If applicable, do you intend to subcontract the portion of work you listed in Item 2b to your Protégé?**

☐ - Yes (If Yes, skip to Item 3e and omit Item 3b, 3c and 3d.) ☐ - No ☐ - Not Applicable

b. Professional Services Contracts Only (This item is only applicable for professional services subcontracting opportunities.)

My proposed HUB Subcontracting Plan for this professional services contract (as defined by Texas Government Code 2254) meets or exceeds Annual Procurement Utilization goals for HUBs.

☐ - Yes (If Yes, skip to Item 3e and omit Item 3c and 3d.) ☐ - No ☐ - Not Applicable

c. Check the appropriate box to identify the good faith effort you made to solicit and notify State of Texas certified HUBs to perform the scope of work you listed in **Item 2b**. If applicable, all items below must be performed to demonstrate good faith effort. The contracting agency shall request additional documentation to substantiate your responses prior to contract award.

- ☐ - I utilized the Centralized Master Bidders List, the HUB Directory, the Internet, and other directories identified by the contracting agency to solicit my subcontracting opportunity to HUBs that may be available to perform the contract work.
- ☐ - I provided notice to three (3) or more HUBs per each subcontracting opportunity that provide the type of work required for each subcontracting opportunity.*
- ☐ - I provided notice to minority or women trade organizations or development centers to assist in identifying HUBs by disseminating subcontracting opportunities to their membership/participants.*

d. In the spaces provided below, list a minimum of three (3) State of Texas certified HUBs who you provided notice regarding the subcontracting opportunity you listed in **Item 2b**. Include the date(s) you provided notice and indicate if you received a response(s).

Company Name	Notice Date	Was Response Received?
_____	___/___/___	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

e. In the space provided below, identify the subcontractor(s) you selected to perform the scope of work you listed in **Item 2b**. Also, list the expected percentage of work to be subcontracted; the approximate dollar value of the work to be subcontracted, and indicate if the company is a State of Texas certified HUB.

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	_____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	_____	_____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Provide/Select justification if subcontractor(s) is not a Texas certified HUB:

☐ - Lowest Price ☐ - Best Qualified ☐ - Best Value

*Notice must be provided with reasonable time to respond, which is no less than five (5) working days, unless circumstances require a different time period, which is determined by the contracting agency and documented in the contract file.

3. Good Faith Effort Information and Supporting Documentation

(Note: Use this attachment for the Subcontracting Opportunity you identified in Item 2c.)

a. Mentor Protégé Program

A respondent's participation as a Mentor in a State of Texas Mentor Protégé Agreement under Texas Government Code, Chapter 2161.065 and the use of their Protégé as a subcontractor to perform the scope of work they listed in Item 2c of this HSP, constitutes a good faith effort. **If applicable, do you intend to subcontract the portion of work you listed in Item 2c to your Protégé?**

☐ - Yes (If Yes, skip to Item 3e and omit Item 3b, 3c and 3d.) ☐ - No ☐ - Not Applicable

b. Professional Services Contracts Only (This item is only applicable for professional services subcontracting opportunities.)

My proposed HUB Subcontracting Plan for this professional services contract (as defined by Texas Government Code 2254) meets or exceeds Annual Procurement Utilization goals for HUBs.

☐ - Yes (If Yes, skip to Item 3e and omit Item 3c and 3d.) ☐ - No ☐ - Not Applicable

c. Check the appropriate box to identify the good faith effort you made to solicit and notify State of Texas certified HUBs to perform the scope of work you listed in **Item 2c**. If applicable, all items below must be performed to demonstrate good faith effort. The contracting agency shall request additional documentation to substantiate your responses prior to contract award.

- ☐ - I utilized the Centralized Master Bidders List, the HUB Directory, the Internet, and other directories identified by the contracting agency to solicit my subcontracting opportunity to HUBs that may be available to perform the contract work.
- ☐ - I provided notice to three (3) or more HUBs per each subcontracting opportunity that provide the type of work required for each subcontracting opportunity.*
- ☐ - I provided notice to minority or women trade organizations or development centers to assist in identifying HUBs by disseminating subcontracting opportunities to their membership/participants.*

d. In the spaces provided below, list a minimum of three (3) State of Texas certified HUBs who you provided notice regarding the subcontracting opportunity you listed in **Item 2c**. Include the date(s) you provided notice and indicate if you received a response(s).

Company Name	Notice Date	Was Response Received?	
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No

e. In the space provided below, identify the subcontractor(s) you selected to perform the scope of work you listed in **Item 2c**. Also, list the expected percentage of work to be subcontracted; the approximate dollar value of the work to be subcontracted, and indicate if the company is a State of Texas certified HUB.

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Provide/Select justification if subcontractor(s) is not a Texas certified HUB:

☐ - Lowest Price ☐ - Best Qualified ☐ - Best Value

*Notice must be provided with reasonable time to respond, which is no less than five (5) working days, unless circumstances require a different time period, which is determined by the contracting agency and documented in the contract file.

3. Good Faith Effort Information and Supporting Documentation

(Note: Use this attachment for the Subcontracting Opportunity you identified in Item 2d.)

a. Mentor Protégé Program

A respondent's participation as a Mentor in a State of Texas Mentor Protégé Agreement under Texas Government Code, Chapter 2161.065 and the use of their Protégé as a subcontractor to perform the scope of work they listed in **Item 2d** of this HSP, constitutes a good faith effort. **If applicable, do you intend to subcontract the portion of work you listed in Item 2d to your Protégé?**

☐ - Yes (If Yes, skip to Item 3e and omit Item 3b, 3c and 3d.) ☐ - No ☐ - Not Applicable

b. Professional Services Contracts Only (This item is only applicable for professional services subcontracting opportunities.)

My proposed HUB Subcontracting Plan for this professional services contract (as defined by Texas Government Code 2254) meets or exceeds Annual Procurement Utilization goals for HUBs.

☐ - Yes (If Yes, skip to Item 3e and omit Item 3c and 3d.) ☐ - No ☐ - Not Applicable

c. Check the appropriate box to identify the good faith effort you made to solicit and notify State of Texas certified HUBs to perform the scope of work you listed in **Item 2d**. If applicable, all items below must be performed to demonstrate good faith effort. The contracting agency shall request additional documentation to substantiate your responses prior to contract award.

- ☐ - I utilized the Centralized Master Bidders List, the HUB Directory, the Internet, and other directories identified by the contracting agency to solicit my subcontracting opportunity to HUBs that may be available to perform the contract work.
- ☐ - I provided notice to three (3) or more HUBs per each subcontracting opportunity that provide the type of work required for each subcontracting opportunity.*
- ☐ - I provided notice to minority or women trade organizations or development centers to assist in identifying HUBs by disseminating subcontracting opportunities to their membership/participants.*

d. In the spaces provided below, list a minimum of three (3) State of Texas certified HUBs who you provided notice regarding the subcontracting opportunity you listed in **Item 2d**. Include the date(s) you provided notice and indicate if you received a response(s).

Company Name	Notice Date	Was Response Received?
_____	___/___/___	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

e. In the space provided below, identify the subcontractor(s) you selected to perform the scope of work you listed in **Item 2d**. Also, list the expected percentage of work to be subcontracted; the approximate dollar value of the work to be subcontracted, and indicate if the company is a State of Texas certified HUB.

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	_____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	_____	_____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Provide/Select justification if subcontractor(s) is not a Texas certified HUB:

☐ - Lowest Price ☐ - Best Qualified ☐ - Best Value

*Notice must be provided with reasonable time to respond, which is no less than five (5) working days, unless circumstances require a different time period, which is determined by the contracting agency and documented in the contract file.

3. Good Faith Effort Information and Supporting Documentation

(Note: Use this attachment for the Subcontracting Opportunity you identified in Item 2e.)

a. Mentor Protégé Program

A respondent's participation as a Mentor in a State of Texas Mentor Protégé Agreement under Texas Government Code, Chapter 2161.065 and the use of their Protégé as a subcontractor to perform the scope of work they listed in Item 2e of this HSP, constitutes a good faith effort. If applicable, do you intend to subcontract the portion of work you listed in Item 2e to your Protégé?

☐ - Yes (If Yes, skip to Item 3e and omit Item 3b, 3c and 3d.) ☐ - No ☐ - Not Applicable

b. Professional Services Contracts Only (This item is only applicable for professional services subcontracting opportunities.)

My proposed HUB Subcontracting Plan for this professional services contract (as defined by Texas Government Code 2254) meets or exceeds Annual Procurement Utilization goals for HUBs.

☐ - Yes (If Yes, skip to Item 3e and omit Item 3c and 3d.) ☐ - No ☐ - Not Applicable

c. Check the appropriate box to identify the good faith effort you made to solicit and notify State of Texas certified HUBs to perform the scope of work you listed in **Item 2e**. If applicable, all items below must be performed to demonstrate good faith effort. The contracting agency shall request additional documentation to substantiate your responses prior to contract award.

- ☐ - I utilized the Centralized Master Bidders List, the HUB Directory, the Internet, and other directories identified by the contracting agency to solicit my subcontracting opportunity to HUBs that may be available to perform the contract work.
- ☐ - I provided notice to three (3) or more HUBs per each subcontracting opportunity that provide the type of work required for each subcontracting opportunity.*
- ☐ - I provided notice to minority or women trade organizations or development centers to assist in identifying HUBs by disseminating subcontracting opportunities to their membership/participants.*

d. In the spaces provided below, list a minimum of three (3) State of Texas certified HUBs who you provided notice regarding the subcontracting opportunity you listed in **Item 2e**. Include the date(s) you provided notice and indicate if you received a response(s).

Company Name	Notice Date	Was Response Received?	
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No

e. In the space provided below, identify the subcontractor(s) you selected to perform the scope of work you listed in **Item 2e**. Also, list the expected percentage of work to be subcontracted; the approximate dollar value of the work to be subcontracted, and indicate if the company is a State of Texas certified HUB.

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Provide/Select justification if subcontractor(s) is not a Texas certified HUB:

☐ - Lowest Price ☐ - Best Qualified ☐ - Best Value

*Notice must be provided with reasonable time to respond, which is no less than five (5) working days, unless circumstances require a different time period, which is determined by the contracting agency and documented in the contract file.

3. Good Faith Effort Information and Supporting Documentation

(Note: Use this attachment for the Subcontracting Opportunity you identified in Item 2f.)

a. Mentor Protégé Program

A respondent's participation as a Mentor in a State of Texas Mentor Protégé Agreement under Texas Government Code, Chapter 2161.065 and the use of their Protégé as a subcontractor to perform the scope of work they listed in Item 2f of this HSP, constitutes a good faith effort. **If applicable, do you intend to subcontract the portion of work you listed in Item 2f to your Protégé?**

☐ - Yes (If Yes, skip to Item 3e and omit Item 3b, 3c and 3d.) ☐ - No ☐ - Not Applicable

b. Professional Services Contracts Only (This item is only applicable for professional services subcontracting opportunities.)

My proposed HUB Subcontracting Plan for this professional services contract (as defined by Texas Government Code 2254) meets or exceeds Annual Procurement Utilization goals for HUBs.

☐ - Yes (If Yes, skip to Item 3e and omit Item 3c and 3d.) ☐ - No ☐ - Not Applicable

c. Check the appropriate box to identify the good faith effort you made to solicit and notify State of Texas certified HUBs to perform the scope of work you listed in Item 2f. If applicable, all items below must be performed to demonstrate good faith effort. The contracting agency shall request additional documentation to substantiate your responses prior to contract award.

- ☐ - I utilized the Centralized Master Bidders List, the HUB Directory, the Internet, and other directories identified by the contracting agency to solicit my subcontracting opportunity to HUBs that may be available to perform the contract work.
- ☐ - I provided notice to three (3) or more HUBs per each subcontracting opportunity that provide the type of work required for each subcontracting opportunity.*
- ☐ - I provided notice to minority or women trade organizations or development centers to assist in identifying HUBs by disseminating subcontracting opportunities to their membership/participants.*

d. In the spaces provided below, list a minimum of three (3) State of Texas certified HUBs who you provided notice regarding the subcontracting opportunity you listed in Item 2f. Include the date(s) you provided notice and indicate if you received a response(s).

Company Name	Notice Date	Was Response Received?	
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No

e. In the space provided below, identify the subcontractor(s) you selected to perform the scope of work you listed in Item 2f. Also, list the expected percentage of work to be subcontracted; the approximate dollar value of the work to be subcontracted, and indicate if the company is a State of Texas certified HUB.

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Provide/Select justification if subcontractor(s) is not a Texas certified HUB:

☐ - Lowest Price ☐ - Best Qualified ☐ - Best Value

*Notice must be provided with reasonable time to respond, which is no less than five (5) working days, unless circumstances require a different time period, which is determined by the contracting agency and documented in the contract file.

3. Good Faith Effort Information and Supporting Documentation

(Note: Use this attachment for the Subcontracting Opportunity you identified in Item 2c.)

a. Mentor Protégé Program

A respondent's participation as a Mentor in a State of Texas Mentor Protégé Agreement under Texas Government Code, Chapter 2161.065 and the use of their Protégé as a subcontractor to perform the scope of work they listed in Item 2c of this HSP, constitutes a good faith effort. **If applicable, do you intend to subcontract the portion of work you listed in Item 2c to your Protégé?**

☐ - Yes (If Yes, skip to Item 3e and omit Item 3b, 3c and 3d.) ☐ - No ☐ - Not Applicable

b. Professional Services Contracts Only (This item is only applicable for professional services subcontracting opportunities.)

My proposed HUB Subcontracting Plan for this professional services contract (as defined by Texas Government Code 2254) meets or exceeds Annual Procurement Utilization goals for HUBs.

☐ - Yes (If Yes, skip to Item 3e and omit Item 3c and 3d.) ☐ - No ☐ - Not Applicable

c. Check the appropriate box to identify the good faith effort you made to solicit and notify State of Texas certified HUBs to perform the scope of work you listed in **Item 2c.** If applicable, all items below must be performed to demonstrate good faith effort. The contracting agency shall request additional documentation to substantiate your responses prior to contract award.

- ☐ - I utilized the Centralized Master Bidders List, the HUB Directory, the Internet, and other directories identified by the contracting agency to solicit my subcontracting opportunity to HUBs that may be available to perform the contract work.
- ☐ - I provided notice to three (3) or more HUBs per each subcontracting opportunity that provide the type of work required for each subcontracting opportunity.*
- ☐ - I provided notice to minority or women trade organizations or development centers to assist in identifying HUBs by disseminating subcontracting opportunities to their membership/participants.*

d. In the spaces provided below, list a minimum of three (3) State of Texas certified HUBs who you provided notice regarding the subcontracting opportunity you listed in **Item 2c.** Include the date(s) you provided notice and indicate if you received a response(s).

Company Name	Notice Date	Was Response Received?	
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No

e. In the space provided below, identify the subcontractor(s) you selected to perform the scope of work you listed in **Item 2c.** Also, list the expected percentage of work to be subcontracted; the approximate dollar value of the work to be subcontracted, and indicate if the company is a State of Texas certified HUB.

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	_____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	_____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Provide/Select justification if subcontractor(s) is not a Texas certified HUB:

☐ - Lowest Price ☐ - Best Qualified ☐ - Best Value

*Notice must be provided with reasonable time to respond, which is no less than five (5) working days, unless circumstances require a different time period, which is determined by the contracting agency and documented in the contract file.

3. Good Faith Effort Information and Supporting Documentation

(Note: Use this attachment for the Subcontracting Opportunity you identified in Item 2d.)

a. Mentor Protégé Program

A respondent's participation as a Mentor in a State of Texas Mentor Protégé Agreement under Texas Government Code, Chapter 2161.065 and the use of their Protégé as a subcontractor to perform the scope of work they listed in **Item 2d** of this HSP, constitutes a good faith effort. **If applicable, do you intend to subcontract the portion of work you listed in Item 2d to your Protégé?**

☐ - Yes (If Yes, skip to Item 3e and omit Item 3b, 3c and 3d.) ☐ - No ☐ - Not Applicable

b. Professional Services Contracts Only (This item is only applicable for professional services subcontracting opportunities.)

My proposed HUB Subcontracting Plan for this professional services contract (as defined by Texas Government Code 2254) meets or exceeds Annual Procurement Utilization goals for HUBs.

☐ - Yes (If Yes, skip to Item 3e and omit Item 3c and 3d.) ☐ - No ☐ - Not Applicable

c. Check the appropriate box to identify the good faith effort you made to solicit and notify State of Texas certified HUBs to perform the scope of work you listed in **Item 2d**. If applicable, all items below must be performed to demonstrate good faith effort. The contracting agency shall request additional documentation to substantiate your responses prior to contract award.

- ☐ - I utilized the Centralized Master Bidders List, the HUB Directory, the Internet, and other directories identified by the contracting agency to solicit my subcontracting opportunity to HUBs that may be available to perform the contract work.
- ☐ - I provided notice to three (3) or more HUBs per each subcontracting opportunity that provide the type of work required for each subcontracting opportunity.*
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d. In the spaces provided below, list a minimum of three (3) State of Texas certified HUBs who you provided notice regarding the subcontracting opportunity you listed in **Item 2d**. Include the date(s) you provided notice and indicate if you received a response(s).

Company Name	Notice Date	Was Response Received?
_____	___/___/___	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

e. In the space provided below, identify the subcontractor(s) you selected to perform the scope of work you listed in **Item 2d**. Also, list the expected percentage of work to be subcontracted; the approximate dollar value of the work to be subcontracted, and indicate if the company is a State of Texas certified HUB.

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	_____	____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Provide/Select justification if subcontractor(s) is not a Texas certified HUB:

☐ - Lowest Price ☐ - Best Qualified ☐ - Best Value

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3. Good Faith Effort Information and Supporting Documentation

(Note: Use this attachment for the Subcontracting Opportunity you identified in Item 2e.)

a. Mentor Protégé Program

A respondent's participation as a Mentor in a State of Texas Mentor Protégé Agreement under Texas Government Code, Chapter 2161.065 and the use of their Protégé as a subcontractor to perform the scope of work they listed in Item 2e of this HSP, constitutes a good faith effort. **If applicable, do you intend to subcontract the portion of work you listed in Item 2e to your Protégé?**

☐ - Yes (If Yes, skip to Item 3e and omit Item 3b, 3c and 3d.) ☐ - No ☐ - Not Applicable

b. Professional Services Contracts Only (This item is only applicable for professional services subcontracting opportunities.)

My proposed HUB Subcontracting Plan for this professional services contract (as defined by Texas Government Code 2254) meets or exceeds Annual Procurement Utilization goals for HUBs.

☐ - Yes (If Yes, skip to Item 3e and omit Item 3c and 3d.) ☐ - No ☐ - Not Applicable

c. Check the appropriate box to identify the good faith effort you made to solicit and notify State of Texas certified HUBs to perform the scope of work you listed in **Item 2e**. If applicable, all items below must be performed to demonstrate good faith effort. The contracting agency shall request additional documentation to substantiate your responses prior to contract award.

- ☐ - I utilized the Centralized Master Bidders List, the HUB Directory, the Internet, and other directories identified by the contracting agency to solicit my subcontracting opportunity to HUBs that may be available to perform the contract work.
- ☐ - I provided notice to three (3) or more HUBs per each subcontracting opportunity that provide the type of work required for each subcontracting opportunity.*
- ☐ - I provided notice to minority or women trade organizations or development centers to assist in identifying HUBs by disseminating subcontracting opportunities to their membership/participants.*

d. In the spaces provided below, list a minimum of three (3) State of Texas certified HUBs who you provided notice regarding the subcontracting opportunity you listed in **Item 2e**. Include the date(s) you provided notice and indicate if you received a response(s).

Company Name	Notice Date	Was Response Received?	
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes	<input type="checkbox"/> - No

e. In the space provided below, identify the subcontractor(s) you selected to perform the scope of work you listed in **Item 2e**. Also, list the expected percentage of work to be subcontracted; the approximate dollar value of the work to be subcontracted, and indicate if the company is a State of Texas certified HUB.

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	_____	____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Provide/Select justification if subcontractor(s) is not a Texas certified HUB:

☐ - Lowest Price ☐ - Best Qualified ☐ - Best Value

*Notice must be provided with reasonable time to respond, which is no less than five (5) working days, unless circumstances require a different time period, which is determined by the contracting agency and documented in the contract file.

3. Good Faith Effort Information and Supporting Documentation

(Note: Use this attachment for the Subcontracting Opportunity you identified in Item 2f.)

a. Mentor Protégé Program

A respondent's participation as a Mentor in a State of Texas Mentor Protégé Agreement under Texas Government Code, Chapter 2161.065 and the use of their Protégé as a subcontractor to perform the scope of work they listed in **Item 2f** of this HSP, constitutes a good faith effort. **If applicable, do you intend to subcontract the portion of work you listed in Item 2f to your Protégé?**

☐ - Yes (If Yes, skip to Item 3e and omit Item 3b, 3c and 3d.) ☐ - No ☐ - Not Applicable

b. Professional Services Contracts Only (This item is only applicable for professional services subcontracting opportunities.)

My proposed HUB Subcontracting Plan for this professional services contract (as defined by Texas Government Code 2254) meets or exceeds Annual Procurement Utilization goals for HUBs.

☐ - Yes (If Yes, skip to Item 3e and omit Item 3c and 3d.) ☐ - No ☐ - Not Applicable

c. Check the appropriate box to identify the good faith effort you made to solicit and notify State of Texas certified HUBs to perform the scope of work you listed in **Item 2f**. If applicable, all items below must be performed to demonstrate good faith effort. The contracting agency shall request additional documentation to substantiate your responses prior to contract award.

☐ - I utilized the Centralized Master Bidders List, the HUB Directory, the Internet, and other directories identified by the contracting agency to solicit my subcontracting opportunity to HUBs that may be available to perform the contract work.

☐ - I provided notice to three (3) or more HUBs per each subcontracting opportunity that provide the type of work required for each subcontracting opportunity.*

☐ - I provided notice to minority or women trade organizations or development centers to assist in identifying HUBs by disseminating subcontracting opportunities to their membership/participants.*

d. In the spaces provided below, list a minimum of three (3) State of Texas certified HUBs who you provided notice regarding the subcontracting opportunity you listed in **Item 2f**. Include the date(s) you provided notice and indicate if you received a response(s).

Company Name	Notice Date	Was Response Received?
_____	___/___/___	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	___/___/___	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

e. In the space provided below, identify the subcontractor(s) you selected to perform the scope of work you listed in **Item 2f**. Also, list the expected percentage of work to be subcontracted; the approximate dollar value of the work to be subcontracted, and indicate if the company is a State of Texas certified HUB.

Company Name	VID #	Expected % of Contract	Approximate Dollar Amount	Texas Certified HUB?
_____	_____	_____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No
_____	_____	_____%	\$_____	<input type="checkbox"/> - Yes <input type="checkbox"/> - No

Provide/Select justification if subcontractor(s) is not a Texas certified HUB:

☐ - Lowest Price ☐ - Best Qualified ☐ - Best Value

*Notice must be provided with reasonable time to respond, which is no less than five (5) working days, unless circumstances require a different time period, which is determined by the contracting agency and documented in the contract file.



Self Performance HUB Subcontracting Plan (HSP)

The contracting agency has determined that subcontracting opportunities are probable under this contract. However, in developing your HSP, which includes dividing the contract work into reasonable lots or portions to the extent consistent with prudent industry practices, if you have determined that you are able to fulfill the entire contract scope of work with your own equipment, supplies, materials and/or employees, your completion of this Self Performance HUB Subcontracting Plan is required.

1. Respondent and Solicitation Information

a. State Agency/University Name: Department of Information Resources

b. Respondent Name: MARK III Systems, Inc.

c. Is respondent a TBPC certified HUB? ☒ - Yes ☐ - No

If yes, provide VID/Certificate #: 17604847974000

d. Solicitation Number: DIR-SDD-TMP-070

2. Provide an explanation documenting how you intend to fulfill the entire contract scope of work, without subcontracting (including the provision of goods and services) any portion of the work: MARK III Systems is an authorized vendor for all goods and services submitted in this proposal.

3. As an authorized representative of the company identified above, I affirm that in developing the HSP required for the solicitation referenced above, respondent is capable of fulfilling the entire contract scope of work with its own equipment, supplies, materials and/or employees. Respondent understands and agrees that, if awarded any portion of the solicitation referenced above,

- any modifications to the HSP must be submitted to the contracting agency for prior approval;
- if the HSP is modified without the contracting agency's prior approval, respondent will be in breach of the contract and subject to any remedial actions provided by Texas Government Code, Chapter 2161;
- upon request by the contracting agency, respondent shall allow the contracting agency to perform on-site reviews of the company's headquarters or work-site where services are to be performed and provide the contracting agency with documentation that includes, but is not limited to evidence of existing staffing to meet contract scope of work, monthly payroll records showing company staff fully engaged in the contract, and licenses and certificates of employees qualified and used to perform the contract scope of work.

Signature: _____

Title: President

Printed Name: Leslie Powell

Date: October 1, 2005